



JAMES D. WELCH
ATTORNEY AT LAW
PROFESSIONAL ENGINEER

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Receipt

INTELLECTUAL PROPERTY
402-391-4448

10328 PINEHURST AVE.
OMAHA, NEBRASKA 68124

July 12, 2002

Assistant Commissioner
for Patents
Office of Initial Patent Examination
Service Center
Patent & Trademark Office
Washington, D.C. 20231

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TC 2800 MAIL ROOM

RE: APPLICATION OF JOHS ET AL. TITLED "MULTIPLE-ELEMENT LENS
SYSTEM AND METHOD FOR UNCORRELATED EVALUATION OF PARAMETERS
IN PARAMETERIZED MATHEMATICAL MODEL EQUATIONS FOR LENS
RETARDANCE, IN ELLIPSOMETRY AND POLARIMETRY";
SERIAL NO. 09/583,229;
FILE DATE: 05/30/00
PRELIM. ART UNIT: 2877
EXAMINER: UNKNOWN

REQUEST FOR CORRECTED FILING RECEIPT.

Dear Sir;

I just noted that the Filing Receipt for the identified
Application did not list Priority from Application Serial No.
09/419,794 Filed 10/18/99. This was listed in the Declaration
along with the other Priority Documents which are indicated on
the Filing Receipt as it now exists.

Please Issue a Corrected Filing Receipt.

Sincerely,

JAMES D. WELCH

JW/hs

enc. copy of present Filing Receipt and of Declaration.

FILING RECEIPT

OC000000005291987



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington D C 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/583,229	05/30/2000	2877	889	-	7	46	

James D Welch
10328 Pinehurst Ave
Omaha, NE 68124

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Date Mailed 08/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Blaine D. Johs, Lincoln, NE ;
Craig M. Herzinger, Lincoln, NE ;
Ping He, Lincoln, NE ;
Martin M. Liphardt, Lincoln, NE ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/162,217 09/29/1998 PAT 6,034,777
WHICH IS A CIP OF 09/033,694 03/03/1998 PAT 5,963,327
WHICH IS A CIP OF 09/144,764 08/31/1998 PAT 5,969,818
WHICH IS A CIP OF 09/033,694 03/03/1998 PAT 5,963,327
AND CLAIMS BENEFIT OF 60/094,104 07/24/1998

Foreign Applications

If Required, Foreign Filing License Granted 08/02/2000

**** SMALL ENTITY ****

Title

Multiple-element lens systems and methods for uncorrelated evaluation of parameters in parameterized mathematical model equations for lens retardance, in ellipsometry and polarimetry

Preliminary Class

356

U.S. PATENT & TRADEMARK OFFICE
JUL 17 2002

DECLARATION IN COPENDING APPLICATION
CONTAINING ADDITIONAL SUBJECT MATTER

ATTORNEY'S DOCKET NO.
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I, the below named inventor, hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I solemnly believe that I am the original, first and sole inventor if only one name is listed at 201 below, or a joint inventor if plural

inventors are named below at 201-203, of the invention entitled: MULTIPLE-ELEMENT LENS SYSTEMS AND METHODS FOR

UNCORRELATED EVALUATION OF PARAMETERS IN PARAMETERIZED MATHEMATICAL MODEL EQUATIONS FOR LENS RETARDANCE,

which is described and claimed in the attached specification;

IN ELLIPSOMETRY AND POLARIMETRY

that this application in part discloses and claims subject matter disclosed in my earlier filed pending application,

Serial No. 09/419,794, filed 10/18/99; (AND SEE NEXT PAGE ALSO)

that I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application; that as to the subject matter of this application which is common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to said earlier application, or in public use or on sale in the United States of America more than one year prior to said earlier application;

that the common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to said earlier application; and

as to applications for patents or inventor's certificate on the common subject matter filed in any country foreign to the United States of America, prior to said earlier application by me or my legal representatives or assigns,

☒ no such applications have been filed, or

☐ such applications have been filed as follows:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS PRIOR TO SAID EARLIER APPLICATION

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
				YES <input type="checkbox"/> NO <input type="checkbox"/>
				YES <input type="checkbox"/> NO <input type="checkbox"/>

ALL FOREIGN APPLICATIONS, IF ANY, FILED MORE THAN 12 MONTHS PRIOR TO SAID EARLIER APPLICATION

that as to the subject matter of this application which is not common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application; that said non-common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application; and as to applications for patents or inventor's certificate on the invention filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns,

☒ no such applications have been filed, or

☐ such applications have been filed as follows:

EARLIEST FOREIGN APPLICATION, IF ANY, FILED WITHIN 12 MONTHS PRIOR TO THIS APPLICATION

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
				<input type="checkbox"/> YES <input type="checkbox"/> NO
				<input type="checkbox"/> YES <input type="checkbox"/> NO

ALL FOREIGN APPLICATIONS, IF ANY, FILED MORE THAN 12 MONTHS PRIOR TO THIS APPLICATION



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MULTIPLE-ELEMENT LENS SYSTEMS AND METHODS FOR UNCORRELATED
EVALUATION OF PARAMETERS IN PARAMETERIZED MATHEMATICAL MODEL
EQUATIONS FOR LENS RETARDANCE, IN ELLIPSOMETRY AND POLARIMETRY

This Application is a Continuation-In-Part of Allowed Application
Serial No. 09/162,217 filed 09/29/98, and of

Allowed Application Serial No. 09/033,694 filed 03/03/98, and of

Allowed Application Serial No. 09/144,764, filed 08/31/98,
which depends from the 694 Application, and of

Co-pending Application Serial No. 09/419,794, filed 10/18/99, which
Application Depends from the other identified Applciations.

ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration no.)

JAMES D. WELCH

REG. NO. 31,216

JUL 17 2002

PATENT & TRADEMARK

SEND CORRESPONDENCE TO:

JAMES D. WELCH
10328 PINEHURST AVE.
OMAHA, NE 68124

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DIRECT TELEPHONE CALL TO:
(name and telephone number)

JAMES D. WELCH
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101	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	CITY	STATE OR FOREIGN COUNTRY	STATE & ZIP CODE/COUNTRY
102	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	CITY	STATE OR FOREIGN COUNTRY	STATE & ZIP CODE/COUNTRY
103	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	CITY	STATE OR FOREIGN COUNTRY	STATE & ZIP CODE/COUNTRY
104	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	CITY	STATE OR FOREIGN COUNTRY	STATE & ZIP CODE/COUNTRY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I have reviewed and understood the content of the application, including the Claims as amended and specifically referred to below.

Bl D. Welch 5-25-00	Clayton H. Hays 5-25-00	Ping/se 5/25/2000	Mat. Hays 5-25-00
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